

12-20-00

A/PREV

FORM PTO-1082

Docket 6/029

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

12/19/00

JC961 U.S. PTO

JC915 U.S. PTO
09/752135
12/19/00

In re application of: Dr. Carl Chang

Series No: Not assigned

Filed: December 19, 2000

For: Rule-Mitigated Collaboration
System and Method

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing is the utility ~~provisional~~ patent application identified above.

X 15 sheet(s) of drawings (informal) are enclosed.

X 23 page(s) of specification and

X 1 page(s) of abstract of the invention are enclosed.

☐ An assignment of the invention to ☐ is enclosed ☐ will follow.

☐ An associate power of attorney ☐ is enclosed ☐ will follow.

☒ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 & 1.27 is enclosed.

X Declaration and Power of Attorney ☒ is enclosed ☐ will follow.

☐ A certified copy of Patent Application No. filed from
which priority is claimed under 35 U.S.C. § 119 is enclosed.

| CALCULATION OF FEES | | | | | | | | |
|---------------------|-----------------------------------------------------------------------------|---------------------|-----|-------------------------|--------------------------------------------------|---------|-----------|----------|
| ITEM | | TOTAL NO. OF CLAIMS | | NO. OF CLAIMS OVER BASE | LG/SM \$ ENTITY FEE | | \$ AMOUNT | \$ FEE |
| A | TOTAL CLAIMS FEE | 44 | -20 | 24 | LG=\$18 SM=\$9 | [\$FEE] | \$432.000 | N/A |
| B | INDEPENDENT CLAIMS FEE* | 3 | -3 | 0 | LG=\$78 SM=\$39 | [\$FEE] | \$ 0 | N/A |
| C | SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A+B) | | | | | | | \$432.00 |
| D | MULTIPLE-DEPENDENT CLAIMS FEE | | | | LARGE ENTITY FEE=\$260 SMALL ENTITY FEE=\$130 | | \$ 0 | |
| E | BASIC FEE | | | | LARGE ENTITY FEE=\$760 SMALL ENTITY FEE=\$380 | | \$ 0 | |
| F | TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E) PROVISIONAL APPLICATION | | | | | | | \$380 |
| G | ASSIGNMENT RECORDING FEE | | | | | | \$40 | \$ 0 |
| | *LIST INDEPENDENT CLAIMS | | | | | | | N/A |

☒ A check in the amount of \$ 812.00 to cover the filing fee is enclosed.

☐ A check in the amount of \$ _____ to cover Assignment Recordation fee is enclosed.

☐ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. _____. **A copy of this sheet is enclosed.**

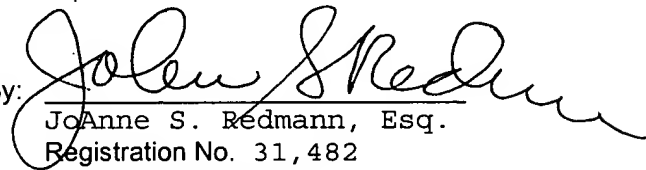
☐ Any additional filing fees required under 37 C.F.R. § 1.16

☐ Any patent application processing fees under 37 C.F.R. § 1.17

Respectfully submitted,
RIORDAN & MCKINZIE

Date: December 19, 2000

By:


JoAnne S. Redmann, Esq.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In application of:

Dr. Carl Chang, Francis
Quek

Art Unit: Not Assigned

Serial No:

Not Assigned

Examiner: Not Assigned

Filed:

December 19, 2000

For:

Rule-Mitigated Collaboration
System and MethodCERTIFICATE OF MAILING VIA U.S. MAIL

Date of Deposit: December 19, 2000

EK 177 623 415 US

Box PATENT APPLICATION

Assistant Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

I hereby certify that

- X two copies of a letter of transmittal
- X check in amount of \$812.00 as filing fee
- X Patent application 23 pages of specification;
44 claim(s); One page(s) of abstract;
15 sheet(s) of informal drawings.
- X Statement of Small Entity Status (Independent Inventor)
- X Declaration and Power of Attorney
- X Return postcard

are being deposited with the United States Postal Service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231.

Date: December 19, 2000

Alethia C. Taylor

Print name of person mailing papers

300 S. Grand Avenue, 29th Floor
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Signature